



#3

DECLARATION

As the below-named inventors, we declare that:

Our residences, post office addresses, and citizenships are as stated below under our names. We believe we are the original, first, and joint inventors of the invention entitled "STENT GRAFTS WITH BIOACTIVE COATINGS," which is described and claimed in the specification and claims of Patent Application No. 09/476,490, which we filed in the United States Patent and Trademark Office on December 30, 1999 and for which a patent is sought. This application claims the benefit of U.S. Provisional Application Nos. 60/114,731, filed December 31, 1998, and 60/116,726, filed January 20, 1999.

We have reviewed and understand the contents of the above-entitled specification, including the claims, as amended by any amendment specifically referred to herein (if any).

We acknowledge our duty to disclose information of which we are aware which is material to the patentability and examination of this application in accordance with 37 C.F.R. § 1.56(a).

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Lindsay S. Machan

Date _____
Residence : City of Vancouver
Province of British Columbia
Country of Canada

Citizenship : Canada
P.O. Address : 3670 Cameron Avenue
Vancouver, B.C.
Canada V6R 1A2

John K. Jackson

Date

Residence : City of Vancouver
Province of British Columbia
Country of Canada

Citizenship : Canada

P.O. Address : 4001 West 33rd Avenue
Vancouver, B.C.
Canada V6N 2H9



William L. Hunter

Date *May 12, 2000*

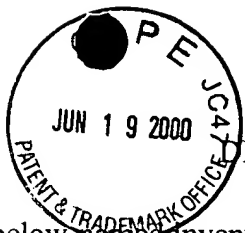
Residence : City of Vancouver
Province of British Columbia
Country of Canada

Citizenship : Canada

P.O. Address : 525 Penticton Street North
Vancouver, British Columbia
Canada V5K 3L7

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#3



DECLARATION

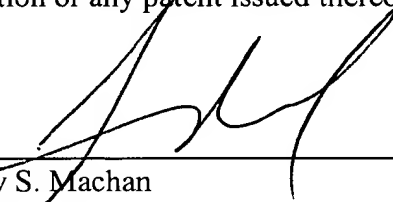
As the below-named Inventors, we declare that:

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Lindsay S. Machan

Date April 25/00

Residence : City of Vancouver
Province of British Columbia
Country of Canada

Citizenship : Canada
P.O. Address : 3670 Cameron Avenue
Vancouver, B.C.
Canada V6R 1A2



John K. Jackson

Date 25 April 2000.

Residence : City of Vancouver
Province of British Columbia
Country of Canada

Citizenship : Canada

P.O. Address : 4001 West 33rd Avenue
Vancouver, B.C.
Canada V6N 2H9

William L. Hunter

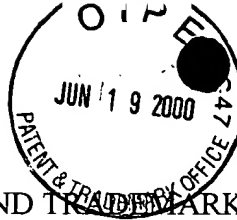
Date _____

Residence : City of Vancouver
Province of British Columbia
Country of Canada

Citizenship : Canada

P.O. Address : 525 Penticton Street North
Vancouver, British Columbia
Canada V5K 3L7

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(110129.411)



EXPRESS MAIL NO.

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lindsay S. Machan, John K. Jackson, and William L. Hunter
Attorney's Docket No.: 110129.411
Application No.: 09/476,490
Filed: December 30, 1999
For: STENT GRAFTS WITH BIOACTIVE COATINGS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§ 1.9(f) AND 1.27(d) -- NONPROFIT ORGANIZATION)

I declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: University of British Columbia
Address of Organization: University – Industry Liaison
IRC 331 – 2194 Health Sciences Mall
Vancouver, B.C. Canada V6T 1Z3

TYPE OF ORGANIZATION:

- ☒ university or other institution of higher education
☐ tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3))
☐ nonprofit scientific or educational under statute of a state of the United States of America
(Name of state: _____)
(Citation of statute: _____)
☐ would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in the United States of America
☐ would qualify as nonprofit scientific or educational under statute of a state of the United States of America if located in the United States of America
(Name of state: _____)
(Citation of statute): _____

I declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees under 35 U.S.C. §§ 41(a) and 41(b) with regard to the invention entitled:

STENT GRAFTS WITH BIOACTIVE COATINGS

by inventors: Lindsay S. Machan, John K. Jackson, and William L. Hunter
as described in:

- ☐ the specification filed herewith.
☒ Application No. 09/476,490, filed December 30, 1999.
☐ Patent No. _____, issued _____.

I declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. § 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).*

*NOTE: Separate verified statements are required from each named person, concern and organization having rights to the invention averring to his/its status as a small entity. (37 C.F.R. § 1.27)

FULL NAME Angiotech Pharmaceuticals Inc.
ADDRESS 6660 N.W. Marine Drive
ADDRESS Vancouver, British Columbia, Canada V6T 1Z4

- ☐ individual
☒ small business concern
☐ nonprofit organization


FULL NAME _____
ADDRESS _____

- ☐ individual
☐ small business concern
☐ nonprofit organization

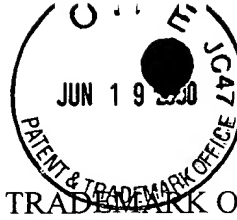
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these

statements were made with the knowledge that the making of willfully false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Angus Livingstone
~~Managing Director~~
TITLE IN ORGANIZATION University - Industry Liaison Office
ADDRESS OF PERSON SIGNING University of British Columbia
University - Industry Liaison
IRC 331 - 2194 Health Sciences Mall
Vancouver, B.C., Canada V6T 1Z3
SIGNATURE  DATE APR 25 2000

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lindsay S. Machan, John K. Jackson and William L. Hunter
Attorney's Docket No.: 110129.411
Application No.: 09/476,490
Filed: December 30, 1999
For: STENT GRAFTS WITH BIOACTIVE COATINGS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§ 1.9(f) AND 1.27(c)) -- SMALL BUSINESS CONCERN**

I declare that I am:

- ☐ the owner of the small business concern identified below.
☒ an official of the small concern empowered to act on behalf
of the concern identified below.

NAME OF CONCERN: Angiotech Pharmaceuticals Inc.
ADDRESS OF CONCERN: 6660 N.W. Marine Drive
Vancouver, British Columbia, Canada V6T 1Z4

I declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §§ 121.3-18 and reproduced in 37 C.F.R. § 1.9(d) for purposes of paying reduced fees under 35 U.S.C. §§ 41(a) and 41(b) in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I declare that rights under contract or law have been conveyed to and remain with the small business concern with regard to the invention entitled:

STENT GRAFTS WITH BIOACTIVE COATINGS

by inventors: Lindsay S. Machan, John K. Jackson and William L. Hunter
as described in:

- ☐ the specification filed herewith.
☒ Application No. 09/476,490, filed December 30, 1999.
☐ Patent No. _____, issued _____.

If the rights held by the small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. § 1.9(c) or by any concern which would not qualify as a

small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).*

*NOTE: Separate verified statements are required from each named person, concern and organization having rights to the invention averring to his/its status as a small entity. (37 C.F.R. § 1.27)

FULL NAME University of British Columbia
 ADDRESS University – Industry Liaison, IRC 331 – 2194 Health Sciences Mall,
Vancouver, British Columbia, Canada V6T 1Z3

- ☐ individual
☐ small business concern
☒ nonprofit organization

FULL NAME _____
 ADDRESS _____

- ☐ individual
☐ small business concern
☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that the making of willfully false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: William L. Hunter
 TITLE OF PERSON OTHER THAN OWNER: Chairman and CEO
 ADDRESS OF PERSON SIGNING: 6660 N.W. Marine Drive
Vancouver, B.C., Canada V6T 1Z4

SIGNATURE: 

DATE: May 4, 2000